

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

Securities and Exchange Commission

CASE No C 20-8238-JSW

Plaintiff(s)

v.

Benja Incorporated and Andrew J. C

Defendant(s)

ADR CERTIFICATION BY  
PARTIES AND COUNSEL

Pursuant to Civil L.R. 16-8(b) and ADR L.R. 3-5 (b), each of the undersigned certifies that he or she has:

- 1) Read the handbook entitled "Alternative Dispute Resolution Procedures Handbook" (available at [cand.uscourts.gov/adr](http://cand.uscourts.gov/adr)).
- 2) Discussed with each other the available dispute resolution options provided by the Court and private entities; and
- 3) Considered whether this case might benefit from any of the available dispute resolution options.

Date: 2/12/2021

Securities and Exchange Commission

Date: 2/12/2021

Party

Susan F. LaMarca

Attorney

Counsel further certifies that he or she has discussed the selection of an ADR process with counsel for the other parties to the case. Based on that discussion, the parties:

intend to stipulate to an ADR process

prefer to discuss ADR selection with the Assigned Judge at the case management conference

Date: 2/12/2021

/s/ Susan F. LaMarca

Attorney

**Important!** E-file this form in ECF using event name: "ADR Certification (ADR LR 3-5 b) of Discussion of ADR Options."